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PATENT
Attorney Docket No. P03096US2A (BJ001d)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of
YAN et al.

Appl. no.: 10/579,954

Filed: May 22, 2006 (371(c) date)

For: FUNCTIONALIZED POLYMER
WITH LINKING GROUP

Group art unit: 1714

Examiner: Not yet.known

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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June 7, 2007

David G. Burleson

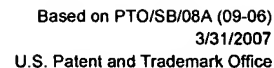
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Applicants submit herewith a completed form PTO/SB/08A (substitute PTO-1449) listing one (1) item(s) for consideration. The Examiner is respectfully requested to return an initialed copy of this form in the next communication mailed.

- ☐ If this box is checked, copies of some or all of the documents cited in the form also are enclosed.

This submission is made pursuant to

- ☐ Rule 97(b)(1) as it is being mailed within three months of the filing date of the application.
- ☒ Rule 97(b)(3) as it is being mailed prior to the mailing date of a first action on the merits.
- ☐ Rule 97(c) as is it is being mailed after the mailing date of a first action on the merits but prior to the mailing date of a final action or a notice of allowance and is accompanied by one of
- ☐ the following statement made pursuant to Rule 97(e)(1): *the undersigned certifies that each item contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.*



Substitute for Form 1449/PTO

Complete if Known

Application No.	10/579,954
Filing Date	22 May 2006 (371(c) date)
First Named Inventor	YAN
Art Unit	1714
Examiner Name	Not yet known
Attorney Docket No.	P03096US2A (BJ001d)

Sheet	1	of	1
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U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional).

² See Kinds Cods of USPTO Patent Documents at www.uspto.gov or MPEP § 901.14.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St.16 if possible

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The collection of information is required by 37 C.F.R. §§ 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. § 122 and 37 C.F.R. § 1.14. This collection is estimated to take 2 hours to complete, including the gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **SEND TO:**

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- ☐ Rule 97(d) as it is being mailed after the period specified in Rule 97(c) but prior to payment of the issue fee and is accompanied by each of
 - ☐ the following statement made pursuant to Rule 97(e)(1): *the undersigned certifies that each item contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.*
 - ☐ a petition requesting consideration of this Information Disclosure Statement; and
 - ☐ the petition fee set forth in Rule 17(i).

For consideration of this submission,

- ☒ no fee is believed to be due at this time
- ☒ but any fee that is due should be charged to Deposit Acct. No. 06-0925.
- ☐ the fee now due, believed to be \$.00,
 - ☐ is enclosed
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- ☐ Additional comment(s)

This Information Disclosure Statement is not an admission that any patent, publication or other information referred to herein or submitted herewith constitutes "prior art" unless specifically designated as such. Additionally, pursuant to Rule 97(g) and (h), this submission shall not be construed to mean that a search has been made nor that no other material information (as defined by Rule 56(b)) exists.

Questions or comments regarding this submission should be directed to the attention of the undersigned.

Respectfully submitted,

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June 7, 2007

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